



GAU 2711 #5

UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: JERDING ET AL. ART GROUP: 2711
APPL. NO.: 09/518,041 EXAMINER: UNKNOWN
FILED: MARCH 2, 2000 DOCKET NO.: A-6284
TITLE: APPARATUS AND METHOD FOR PROVIDING A PLURALITY OF
INTERACTIVE PROGRAM GUIDE INITIAL ARRANGEMENTS
SEPTEMBER 25, 2000

TRANSMITTAL of INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing in the above-identified patent application, please find

1. X 1 Page Disclosure Statement
2. X Form PTO-1449
3. X Copy of Cited Art

RECEIVED
OCT - 4 2000
MECH CENTER 2700

This Statement is submitted before the mailing date of a first Office action on the merits. In accordance with 37 C.F.R. § 1.97(b), submission of this statement requires no fee. However, in the event a fee is required, the Commissioner is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to our Deposit Account No. 19-0761. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted:

SEND CORRESPONDENCE TO:

Scientific-Atlanta, Inc.
Intellectual Property Department
One Technology Parkway, South
Norcross, GA 30092-2967

By:

JOHN ERIC WEST
Agent of Record
Reg. No.: 46,279
Phone: (770) 236-4872
Fax No.: (770) 903-4806

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents
Washington, DC 20231

on SEPTEMBER 25, 2000.

Marcia Burdick
Marcia Burdick



THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: JERDING ET AL. GRP. ART UNIT: 2711
APPL. NO.: 09/518,041 EXAMINER: UNKNOWN
FILED: MARCH 2, 2000 DOCKET NO.: A-6284
TITLE: APPARATUS AND METHOD FOR PROVIDING A PLURALITY OF
INTERACTIVE PROGRAM GUIDE INITIAL ARRANGEMENTS

SEPTEMBER 25, 2000

RECEIVED
OCT -4 2000
TECH CENTER 2700

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

This information disclosure statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97, and 1.98, and specifically:

- ☒ under 37 CFR 1.97(b), or
(within Three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:
☐ Statement Under 37 C.F.R. 1.97(e), or
☐ a \$240.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before the final office action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
☐ Statement under 37 CFR 1.97(e), and
☐ a petition under 37 CFR 1.97(d)(2), and
☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).
(Filed after final office action or notice of allowance, whichever occurs first, but

before payment of the

issue fee)

Please charge \$0.00 to deposit account 19-0761. At any time during the pendency of this application, please charge any fees required to Deposit Account 19-0761 pursuant to 37 CFR 1.25. The Commissioner is hereby requested to credit any overpayment to Deposit Account No. 19-0761.

- ☒ Applicant(s) submit herewith *Form PTO 1449 - Information Disclosure Citation* together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may or may not be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56. As required by 37 C.F.R. §1.98(a), a legible copy of each document is provided.

a.

The Cited Art includes:

1. 4,706,121

- ☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on the form PTO 1449 and is enclosed herewith.

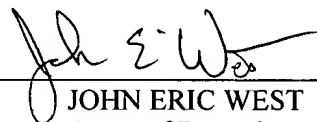
The following rights are reserved by the Applicant(s): the right to establish the patentability of the claimed invention over any of the listed documents should they be applied as reference, and/or the right to prove that some of these documents may not be prior art, and/or the right to prove that some of these documents may not be enabling for the teachings they purport to offer.

This statement should not be construed as a representation that an exhaustive search has been made, or that information more material to the examination of the present application does not exist. The Examiner is specifically requested not to rely solely on the materials submitted herewith. The Examiner is requested to conduct an independent and thorough review of the documents, and to form independent opinions as to their significance.

It is requested that the information disclosed herein be made of record in this application and that the Examiner initial and return a copy of the enclosed PTO-1449 to indicate the documents have been considered.

Respectfully Submitted,

By:



JOHN ERIC WEST

Agent of Record

Reg. No.: 46,279

Phone: (770) 236-4872

Fax No.: (770) 903-4806

SEND CORRESPONDENCE TO:

Scientific-Atlanta, Inc.

Intellectual Property Department

One Technology Parkway, South

Norcross, GA 30092-2967

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner for Patents

Washington, DC 20231

on SEPTEMBER 25, 2000.



Marcia Burdick